# 

Augstākās izglītības kvalitātes ağentūra

## Study field assessment process: methodology and criteria

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## REGULATORY FRAMEWORK (main regulatory acts for procedure)

Law on Higher Education Institutions (Section 55.<sup>3</sup> Opening and Accreditation of an Academic Discipline)

https://likumi.lv/ta/en/en/id/37967

Cabinet Regulation No. 793 of 11 December 2018 "Regulations on Opening and Accreditation of a Study Direction"

https://likumi.lv/ta/en/en/id/303956

**Methodology for the Assessment and Accreditation of Study Fields** *https://www.aika.lv/wp-content/uploads/2020/12/Methodology-for-the-Assessment-and-Accreditation-of-Study-Fields-amended-08.12.2020..pdf* 

The Guidelines for the Preparation of the Joint Report by the Experts Group on the Assessment of a Study Direction

https://www.aika.lv/wp-content/uploads/2019/05/The-Guidelines-for-the-Preparation-of-the-Joint-Report-by-the-Experts-Group-on-the-Assessment-of-a-Study-Direction.pdf

## The aim and results of the study field assessment and accreditation process

ASSESSMENT procedure of the study field	ACCREDITATION procedure of the study field
<b>Aim:</b> To perform a comprehensive assessment of the study field and the corresponding programmes and to provide recommendations for the improvement of the study field and the corresponding study programmes.	<b>Aim:</b> To make a decision on the accreditation of the study field and the corresponding study programmes or the refusal to accredit the study field.
<b>Parties involved</b> : Group of experts, AIC, HEIs, Other Organizations	<b>Parties involved</b> : Study Quality Commission, AIC, HEI, Other organizations
Outcome of the procedure: Assessment and joint opinion	Outcome of the procedure: Decision on accreditation of a study field for 6 or 2 years, or refusal to accredit a study field *In the case of accreditation, HEIs have the right to issue a state-recognized diploma to students

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# Study field assessment procedure (6 months)

# Stages of the study field assessment process [1]

HEI and AIC (AIKA) sign a contract for the assessment of the study field HEI submits to AIC (AIKA) application for study field assessment and self-assessment report The AIC examines the self-assessment report and asks for clarification of the information provided HEIs clarify the information and resubmit it to AIC

AIC (AIKA) plans the visit, attracts experts and submits the expert group to the HEI for approval

approximately 2.5 months

# Stages of the study field assessment process [2]

The group of experts is approved and the experts receive the documents

First meeting of the expert group ZOOM (initial findings)

Preparation for the visit, individual work

Expert group's training before the visit

Assessment visit to HEI (2-5 days)

2 - 4 weeks

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### Assessment visit

#### On-site visit

• All experts participate in the visit in person

#### Partially online assessment visit

 In cases of force majeure or other objectively justified exceptional circumstances justifying the inability of one of the experts to attend the assessment visit, the experts shall participate in the assessment visit at a distance, except for the head of the team or, in exceptional cases, the expert who is chairing the meetings

### Fully online assessment visit

 In cases of force majeure or other objectively justified exceptional circumstances which make it impossible for any of the experts to attend the assessment visit, all experts shall participate in the assessment visit at a distance and <u>only the assessment coordinator shall participate in</u> <u>the assessment visit on-site</u>. In cases where the objectively justified exceptional circumstances make impossible assessment coordinator to participate assessment visit on-site, the assessment coordinator shall participate in the assessment visit at a distance.

### Procedures for organizing remote visits have been established



## Visit shall include following meetings



# Stages of the study field assessment process [3]

experts shall prepare a draft opinion AIKA reviews the expert opinion and submits comments

The group of

The group of experts shall make adjustments to the expert opinion Head of AIKA and coordinator reviews the expert opinion (additional comments if needed)

HEI comments on

factual errors

The experts shall

make adjustments

to the expert

opinion

The experts clarify the

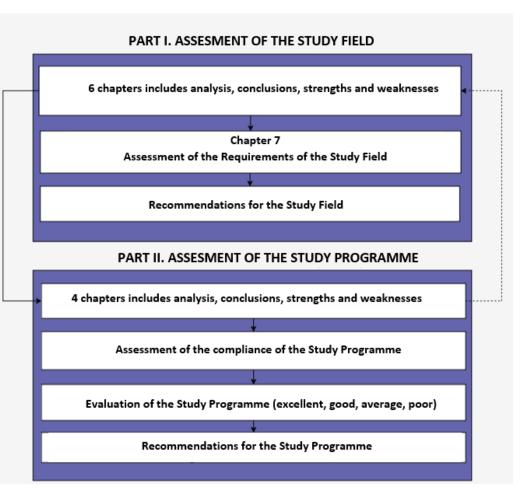
opinion on the basis of the factual errors

identified by the HEI

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## Joint opinion

## Structure of the joint opinion [1]



- The sections contain criteria on which the experts analyze and summarize the conclusions
- The opinion includes 8 requirements set in the regulations of the Cabinet of Ministers
- The assessment of requirements **must be aligned** with the analysis made in the criteria

## Structure of the joint opinion [2] – Assessment of the study field

- 1. Management of the Study field
- 2. Efficiency of the Internal Quality Assurance System
- 3. Resources and Provision of the Study field
- 4. Scientific Research and Artistic Creation
- 5. Cooperation and Internationalisation
- 6. Implementation of the Recommendations Received During the Previous Assessment Procedures
- 7. Assessment of the Requirements for the Study field
- 8. Recommendations for the Study field

### Example of criteria under assessment:

### I. Assessment of the Study Direction

### 1. Management of the Study Direction

### Criteria:

- 1.1. The aims of the study direction are clearly defined and attainable. The study direction and the relevant study programmes comply with the main directions of the strategic development of the higher education institution/ college and meet the needs and the development trends of the society and national economy.
- 1.2. The structure of the management (administration) of the study direction and the relevant study programmes is oriented towards the development of the study direction. Decision-taking is efficient. The support provided by the administrative and technical staff contributes to meeting all needs with regard to the relevant study programmes of the study direction.
- 1.3. The higher education institution/ college has established a system and developed/ implemented procedures for the admission of students, the recognition of the study period, professional experience, and the previously acquired formal and non-formal education, as well as for the evaluation of the achievements and learning outcomes of the students, and these procedures are logical and efficient.

Structure of the joint opinion of the expert group [2] - assessment of study programmes

- 1. Indicators Describing the Study Programme
- 2. The Content of Studies and Implementation Thereof
- 3. Resources and Provision of the Study Programme
- 4. Teaching Staff
- 5. Assessment of the Compliance of the Study Programme "..."
- 6. Recommendations for the Study Programme "..."

### Example of criteria under assessment:

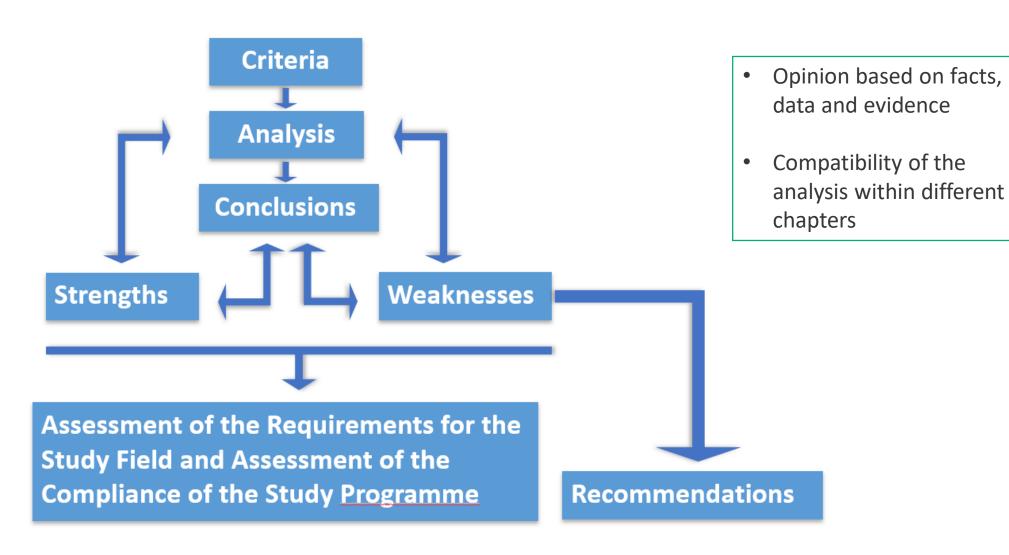
### 2. The Content of Studies and Implementation Thereof

#### Criteria:

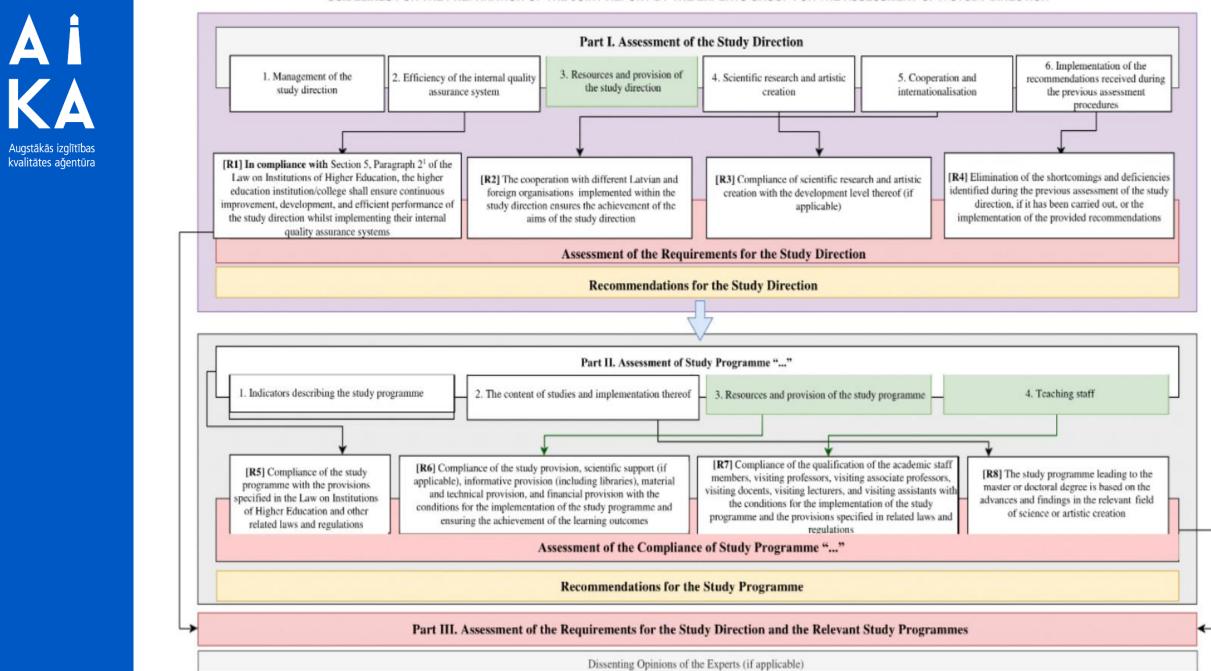
- 2.1. The descriptions of the study courses/ modules, the traineeship, and the final thesis are of high quality and comply with the provisions set forth in the regulatory enactments. The content is relevant and complementary, and it complies with the aims of the study programme, ensures the achievement of the learning outcomes, and meets the needs of the relevant industry and the scientific trends.
- 2.2. The study implementation methods, including the evaluation methods, contribute to the achievement of the aims and learning outcomes of the study courses and the study programme. Student-centred learning and teaching principles are taken into account.
- 2.3. The outcomes of the surveys conducted among the students, employers, and graduates are used to improve the quality of studies.



## **Basic principles**

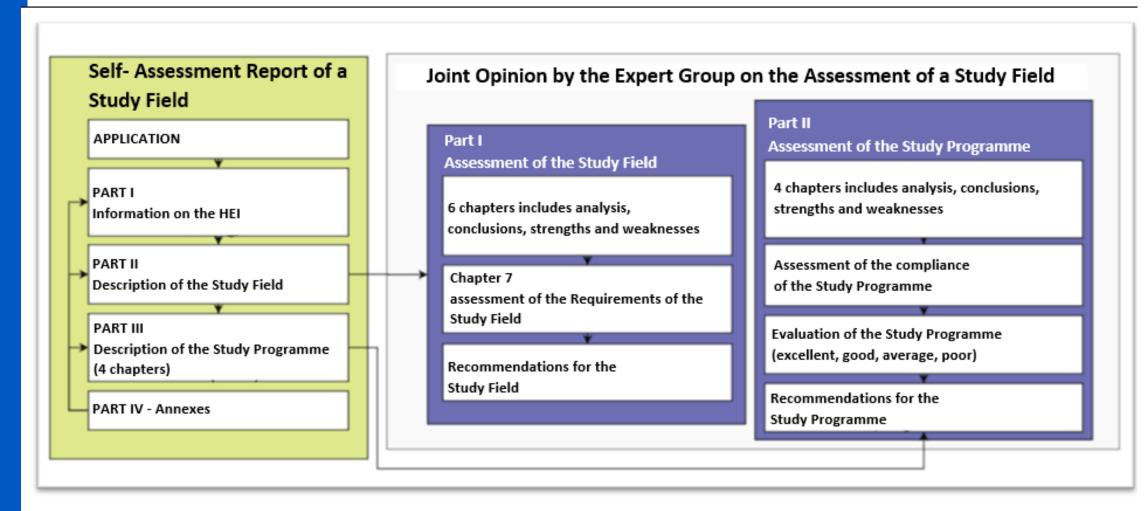


#### GUIDELINES FOR THE PREPARATION OF THE JOINT REPORT BY THE EXPERTS GROUP FOR THE ASSESSMENT OF A STUDY DIRECTION





### LINK BETWEEN THE SELF-ASSESSMENT REPORT AND THE EXPERT JOINT OPINION



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# Accreditation procedure of study field (4 months)



## Accreditation procedure

HEIs submit to the AIC an application for accreditation of the study field and a report on the implementation of recommendations

The AIC evaluates the application and asks for clarification if necessary

The Study Quality Committee evaluates the HEI application, documents and the joint opinion of the expert group

The issue of accreditation of the study field is considered at the meeting of the Study Quality Committee, a decision on accreditation is made

## Recommendations for the work of experts during assessment procedures

- Before the first ZOOM meeting, get acquainted with the methodology of the assessment procedure and the guidelines for drafting an expert opinion
- Divide responsibilities at the first ZOOM meeting
- Before assessment visit prepare questions, see them in the context of the criteria included in the expert opinion
- Identify problem areas before the assessment visit (discuss them with AIKA coordinator and the expert group)
- Don't be afraid to ask for support and clarify unclear issues
- Follow deadlines



## Useful information for experts:

- Information on YOUTUBE: <u>https://www.youtube.com/channel/UCnZ5E6qxj2kMZ\_L9</u> <u>TZYaN6A</u>
- Guidelines for the assessment procedure: <u>https://www.aika.lv/en/laws-and-regulations-</u> <u>publications/internal-rules-and-regulations/</u>
- Detailed information about the assessment and accreditation of the study field: <u>https://www.aika.lv/en/for-experts/accreditation-of-study-direction/</u>



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